



## H. RODERICK ANDERSON\*

Rod is Associate Counsel with Harper Grey and his practice focuses on securities related litigation.

### H. RODERICK ANDERSON\*

Associate Counsel  
Harper Grey LLP  
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\*H. Roderick Anderson Law Corporation

### ASSISTANT

Michelle Watts  
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### EXPERTISE

Class Actions  
Commercial Litigation  
Criminal Law  
Directors & Officers Liability  
Professional Regulation  
Real Estate Law  
Securities Regulation & Litigation  
White Collar Crime

Rod's client base includes brokers, brokerage firms, executives, professionals, directors and officers of private and public companies, shareholders and other business people with legal matters involving capital markets.

Clients count on Rod to represent them before the BC Securities Commission, TSX Venture Exchange, the Investment Industry Regulatory Organization of Canada (IIROC) and all levels of court. He also has a significant cross-border practice which involves dealing with US counsel and securities regulators.

Rod is known for representing clients in securities related matters that require administrative, civil litigation and criminal defence expertise.

Rod grew up in Prince George, BC. He has played ice hockey competitively since his youth and is an avid fisherman, responsible for the demise of many large chinook salmon.

### EXPERIENCE

#### COMMERCIAL AND SECURITIES LITIGATION DISPUTES

- Corporate reorganization and governance disputes
- Oppression remedies
- Plans of arrangement
- Directors and officers liability
- Shareholder disputes
- Negligent and fraudulent misrepresentation claims
- Cases for and against private and public issuers, their directors and officers

#### PROFESSIONAL REGULATION

- Disciplinary proceedings before administrative tribunals including the BC Securities Commission and IIROC, such as investigations, audits and hearings
- Issuer and investment dealer disclosure and liability
- Insider trading
- Market manipulation
- Illegal distributions
- Multilateral Assistance Treaty Act (MLAT) issues

- Regulatory and compliance issues
- Director and officer “acceptability” issues raised by the TSX Venture Exchange
- Advice and assistance for Canadians subjected to U.S. Securities and Exchange Commission investigations and proceedings

#### **CRIMINAL DEFENCE**

- White collar crime – allegations of misrepresentation and/or fraud
- Defence of criminal charges relating to civil suits

#### **CREDENTIALS**

##### **EDUCATION**

- University of British Columbia, LL. B., 1979
- University of British Columbia, B.A., 1976

##### **BAR ADMISSION**

- British Columbia, 1980

##### **LEADERSHIP**

- Chair, Harper Grey Securities Regulation & Litigation Group

##### **MEMBERSHIP**

- Member, [The Law Society of British Columbia](#)
- Member, [Canadian Bar Association, BC Branch](#)

#### **RECOGNITION**

Named as a "Recognized Practitioner" in the area of Litigation (British Columbia) by Chambers Canada®, 2019

Recognized by Best Lawyers® in Canada as a "Leading Lawyer" in the area of Securities Law, 2010 - 2020

Recognized as a “Local Litigation Star” in British Columbia in the area of Securities by Benchmark Canada®, 2013 - 2018

Rated as a "Consistently Recommended Leading Practitioner" in the area of Litigation - Securities by the Canadian Legal Lexpert Directory®, 2014 - 2017

Recognized as a "Leading US/Canada Cross-Border Litigation Lawyer" in the area of Securities by Lexpert®, 2013 - 2017

Rated as a "Repeatedly Recommended Leading Practitioner" in the area of Litigation - Securities by the Canadian Legal Lexpert Directory®, 2019

#### **COMMENTARIES**

"Rod Anderson is lauded for his enthusiastic client following and his track record of success."

— *Benchmark Canada@ 2015*

"Rod Anderson fearlessly gets involved in everything from standard shareholder disputes to more slippery stuff like insider trading, and he is known to win big."

— *Benchmark Canada@ 2015*

"Rod Anderson is noted by his peers as being 'one of the most active leading securities litigators in the province.'"

— *Benchmark Canada@ 2013*

"Rod Anderson - he's got a reputation for success, he's got a client following, and he just wins."

— *Benchmark Canada@ 2013*

## **SELECT NEWS**

### **2019 Canadian Legal Lexpert® Directory recognizes 16 Harper Grey lawyers as “Leading Practitioners”**

Related to: Steven Abramson\*, H. Roderick Anderson\*, Richard E. Bereti\*, Guy P. Brown, QC\*, William S. Clark\*, Cameron B. Elder, Michael J. Hewitt\*, Kimberly J. Jakeman\*, Jonathan D. Meadows\*, David W. Pilley, Christopher M. Rusnak\*, Raj Samtani\*, W. Sean Taylor, Nigel L. Trevethan\*, Abigail C.F. Turner\*, Lara C. Zee - 06.May.19

### **30 Harper Grey Lawyers recognized by Best Lawyers® in Canada 2019**

Related to: Steven Abramson\*, Owais Ahmed, H. Roderick Anderson\*, Bryan G. Baynham, QC, Richard E. Bereti\*, Salman Y. Bhura\*, Guy P. Brown, QC\*, William S. Clark\*, Prentice Durbin\*, Michael J. Hewitt\*, Kimberly J. Jakeman\*, James M. Lepp, QC, Steven G. Lukas\*, Maureen L.A. Lundell, QC, Derek Mah\*, Jonathan D. Meadows\*, David W. Pilley, Una Radoja\*, Christopher M. Rusnak\*, Raj Samtani\*, John P. Sullivan\*, W. Sean Taylor, Michael G. Thomas\*, Nigel L. Trevethan\*, Abigail C.F. Turner\*, Henning W. Wiebach\*, Jennifer R. Woznesensky, Lara C. Zee - 21.Aug.18

### **19 Harper Grey Lawyers recognized for litigation expertise by Benchmark Canada® 2018**

Related to: H. Roderick Anderson\*, Bryan G. Baynham, QC, Richard E. Bereti\*, Salman Y. Bhura\*, Guy P. Brown, QC\*, Karen F. Douglas\*, Michael J. Hewitt\*, Kimberly J. Jakeman\*, James M. Lepp, QC, Jonathan D. Meadows\*, David W. Pilley, Christopher M. Rusnak\*, John P. Sullivan\*, Michael G. Thomas\*, Nigel L. Trevethan\*, Abigail C.F. Turner\*, Jennifer R. Woznesensky, Cameron B. Elder, Una Radoja\* - 19.Apr.18

### **2018 Canadian Legal Lexpert® Directory recognizes 24 Harper Grey lawyers as “Leading Practitioners”**

Related to: Steven Abramson\*, H. Roderick Anderson\*, Bryan G. Baynham, QC, Richard E. Bereti\*, Salman Y. Bhura\*, Guy P. Brown, QC\*, William S. Clark\*, Karen F. Douglas\*, Prentice Durbin\*, Michael J. Hewitt\*, Kimberly J. Jakeman\*, James M. Lepp, QC, Maureen L.A. Lundell, QC, Jonathan D. Meadows\*, David W. Pilley, Una Radoja\*, Christopher M. Rusnak\*, Raj Samtani\*, W. Sean Taylor, Nigel L. Trevethan\*, Abigail C.F. Turner\*, Lara C. Zee - 13.Apr.18

### **Two Harper Grey lawyers recognized by the 2017 Lexpert Guide to the Leading US/Canada Cross-Border Litigation Lawyers in Canada**

Related to: H. Roderick Anderson\*, Kim J. Yee - 28.Nov.17

### **Four Harper Grey lawyers recognized by Chambers Canada 2018**

Related to: Richard E. Bereti\*, Christopher M. Rusnak\*, John P. Sullivan\*, H. Roderick Anderson\* - 28.Sep.17

**16 Harper Grey lawyers recognized for litigation expertise by Benchmark Canada® 2017**

Related to: H. Roderick Anderson\*, Bryan G. Baynham, QC, Salman Y. Bhura\*, Guy P. Brown, QC\*, Karen F. Douglas\*, Kimberly J. Jakeman\*, James M. Lepp, QC, Barbara J. Norell, QC, Terrence L. Robertson, QC, Christopher M. Rusnak\*, John P. Sullivan\*, Michael G. Thomas\*, Nigel L. Trevethan\*, Abigail C.F. Turner\*, Jennifer R. Woznesensky, Jonathan D. Meadows\* - 11.May.17

**18 Harper Grey lawyers recognized as “Leading Practitioners” by 2017 Canadian Legal Lexpert® Directory**

Related to: Barbara J. Norell, QC, Abigail C.F. Turner\*, Bryan G. Baynham, QC, David W. Pilley, Guy P. Brown, QC\*, H. Roderick Anderson\*, James M. Lepp, QC, Jennifer R. Woznesensky, Jonathan D. Meadows\*, Karen F. Douglas\*, Kim J. Yee, Kimberly J. Jakeman\*, Nigel L. Trevethan\*, Raj Samtani\*, Richard E. Bereti\*, Salman Y. Bhura\*, W. Sean Taylor, William S. Clark\* - 05.May.17

**Best Lawyers® in Canada 2017 recognizes 24 Harper Grey Lawyers**

Related to: Abigail C.F. Turner\*, Barbara J. Norell, QC, Bryan G. Baynham, QC, Christopher M. Rusnak\*, David W. Pilley, Guy P. Brown, QC\*, James M. Lepp, QC, Jennifer R. Woznesensky, John P. Sullivan\*, Jonathan D. Meadows\*, Kimberly J. Jakeman\*, Maureen L.A. Lundell, QC, Michael G. Thomas\*, Nigel L. Trevethan\*, Prentice Durbin\*, Raj Samtani\*, Richard E. Bereti\*, Salman Y. Bhura\*, Steven G. Lukas\*, Terrence L. Robertson, QC, William S. Clark\*, H. Roderick Anderson\*, L. Neil Matheson, QC\*, W. Sean Taylor - 10.Aug.16

**2016 Canadian Legal Lexpert Directory recognizes 11 Harper Grey lawyers as “Leading Practitioners”**

Related to: Barbara J. Norell, QC, Bryan G. Baynham, QC, David W. Pilley, Guy P. Brown, QC\*, James M. Lepp, QC, Jonathan D. Meadows\*, Kimberly J. Jakeman\*, Nigel L. Trevethan\*, Raj Samtani\*, Salman Y. Bhura\*, H. Roderick Anderson\* - 27.Jun.16

**15 Harper Grey lawyers recognized for litigation expertise by Benchmark Canada 2016**

Related to: Abigail C.F. Turner\*, Bryan G. Baynham, QC, Christopher M. Rusnak\*, Guy P. Brown, QC\*, James M. Lepp, QC, John P. Sullivan\*, Jonathan D. Meadows\*, Karen F. Douglas\*, Kimberly J. Jakeman\*, Michael G. Thomas\*, Nigel L. Trevethan\*, Salman Y. Bhura\*, Terrence L. Robertson, QC, H. Roderick Anderson\* - 20.May.16

**20 Harper Grey Lawyers recognized by Best Lawyers**

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**2015 Canadian Legal Lexpert Directory recognizes 9 Harper Grey lawyers**

Related to: Barbara J. Norell, QC, Bryan G. Baynham, QC, David W. Pilley, Guy P. Brown, QC\*, James M. Lepp, QC, Kimberly J. Jakeman\*, Raj Samtani\*, H. Roderick Anderson\* - 30.Jun.15

**12 Harper Grey lawyers recognized for litigation expertise by Benchmark Canada 2015**

Related to: Bryan G. Baynham, QC, Christopher M. Rusnak\*, Guy P. Brown, QC\*, Henning W. Wiebach\*, James M. Lepp, QC, Jennifer R. Woznesensky, John P. Sullivan\*, Jonathan D. Meadows\*, Kimberly J. Jakeman\*, Nigel L. Trevethan\*, Salman Y. Bhura\*, Terrence L. Robertson, QC, H. Roderick Anderson\* - 14.Mar.15

**17 Harper Grey lawyers recognized by Best Lawyers® in Canada 2015**

Related to: Abigail C.F. Turner\*, Bryan G. Baynham, QC, Christopher M. Rusnak\*, Guy P. Brown, QC\*, James M. Lepp, QC, John P. Sullivan\*, Jonathan D. Meadows\*, Maureen L.A. Lundell, QC, Nigel L. Trevethan\*, Raj Samtani\*, Richard E. Bereti\*, Terrence L. Robertson, QC, William S. Clark\*, H. Roderick Anderson\*, L. Neil Matheson, QC\*, W. Sean Taylor - 02.Sep.14

**2014 Canadian Legal Lexpert® Directory recognizes 8 Harper Grey lawyers**

Related to: Barbara J. Norell, QC, Bryan G. Baynham, QC, David W. Pilley, Guy P. Brown, QC\*, James M. Lepp, QC, Kimberly J. Jakeman\*, H. Roderick Anderson\* - 13.Aug.14

**Harper Grey receives high rankings from Benchmark Canada 2014**

Related to: Bryan G. Baynham, QC, Christopher M. Rusnak\*, Guy P. Brown, QC\*, Henning W. Wiebach\*, James M. Lepp, QC, John P. Sullivan\*, Kimberly J. Jakeman\*, Nigel L. Trevethan\*, Terrence L. Robertson, QC, H. Roderick Anderson\* - 25.Feb.14

**12 Harper Grey lawyers recognized by Best Lawyers in Canada 2014**

Related to: Abigail C.F. Turner\*, Bryan G. Baynham, QC, Christopher M. Rusnak\*, Guy P. Brown, QC\*, James M. Lepp, QC, Maureen L.A. Lundell, QC, Nigel L. Trevethan\*, Raj Samtani\*, H. Roderick Anderson\*, L. Neil Matheson, QC\*, W. Sean Taylor - 13.Aug.13

**Seven Harper Grey lawyers named Leading Practitioners**

Related to: David W. Pilley, Guy P. Brown, QC\*, James M. Lepp, QC, Kimberly J. Jakeman\*, Richard E. Bereti\*, H. Roderick Anderson\* - 31.May.13

**10 Harper Grey lawyers recognized for litigation expertise**

Related to: Bryan G. Baynham, QC, Christopher M. Rusnak\*, Guy P. Brown, QC\*, Henning W. Wiebach\*, James M. Lepp, QC, John P. Sullivan\*, Kimberly J. Jakeman\*, Nigel L. Trevethan\*, Terrence L. Robertson, QC, H. Roderick Anderson\* - 27.Mar.13

**Eight Harper Grey lawyers recognized by Best Lawyers in Canada 2013**

Related to: Bryan G. Baynham, QC, Christopher M. Rusnak\*, James M. Lepp, QC, Raj Samtani\*, H. Roderick Anderson\*, L. Neil Matheson, QC\*, W. Sean Taylor - 22.Aug.12

**Rod Anderson ranked among the “Top 500 Litigators In Canada” by Lexpert and American Lawyer Media**

Related to: H. Roderick Anderson\* - 25.Nov.11

**Seven Harper Grey lawyers recognized by Best Lawyers in Canada**

Related to: Bryan G. Baynham, QC, Christopher M. Rusnak\*, James M. Lepp, QC, H. Roderick Anderson\*, L. Neil Matheson, QC\*, W. Sean Taylor - 19.Sep.11

**Six Harper Grey Lawyers named “Leading Practitioners” by Lexpert**

Related to: David W. Pilley, Guy P. Brown, QC\*, James M. Lepp, QC, H. Roderick Anderson\*, L. Neil Matheson, QC\* - 10.Feb.10